

# Non-pseudo-Hermitian forms of PT-symmetry

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- **either**  $\mathcal{S} = I$  (i.e.,  $\mathbf{R} = \mathbf{R}^\dagger$ ), *pseudo-Hermiticity*
- **or**  $\mathcal{S} \neq I$  (= **today**: “*symmetry factorization*”, SF).



# PLAN OF THE TALK

- **I.** Introduction
- **II.** Symmetry-factorization models on curves
- **III.** Symmetry-factorization models on an interval
- **IV.** Summary

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- **I.** Introduction ( **$2 \times 2$  example**)
- **II.** SF models on curves (“**toboggans**”)
- **III.** SF models on an interval (**coupled square wells**)
- **IV.** Summary (**SFQM** )

sleepers: **partly accessible ON WEB** and/or published:

- **I.** quant-ph/0601048 (PLA)
- **II.** quant-ph/0502041 (PLA), .../0606166 (subm.)
- **III.** quant-ph/0511194 (JPA), .../0605209 (JPA, ip)
- **IV.** (CJP, ip)

# INTRODUCTION

With  $H|n\rangle = E_n|n\rangle$  and  $\langle\langle n|H = E_n\langle\langle n|$ , quasi-Hermiticity

$$H^\dagger = \Theta H \Theta^{-1}, \quad I \neq \Theta = \Theta^\dagger > 0.$$

and the spectral representation of the Hamiltonian

$$H = \sum_n |n\rangle \frac{E_n}{\langle\langle n|n\rangle} \langle\langle n|$$

lead to the multiparametric formula giving “**physics**”,

$$\Theta = \sum_n |n\rangle\langle\langle n| \theta_n, \quad \theta_n > 0.$$

**Example** – find metric  $\Theta$  for a  $2 \times 2$  Hamiltonian

$$H = \begin{pmatrix} -T & B \\ -B & T \end{pmatrix}, \quad \Theta = \begin{pmatrix} a & b \\ b & d \end{pmatrix}$$

$$\Theta H = H^T \Theta \implies 2bT = -B(a + d)$$

$$E \in \mathcal{R} \iff |T| \geq |B|, \quad B = T \sin \alpha$$

$$\theta_{1,2} > 0 \iff b \neq 0 \neq a + d = 2Z.$$

**ambiguity:**

for  $a = Z(1 + \xi)$ ,  $d = Z(1 - \xi)$  we have an **interval**,

$$1 > \sqrt{\xi^2 + \sin^2 \alpha}, \quad \xi < \cos \alpha.$$

In 2D with biorthogonal “brabacket” basis,

$$\langle\langle n| H = \langle\langle n| E_n, \quad H |n\rangle = E_n |n\rangle$$

such a freedom is compatible with the universal formula

$$\Theta = \sum |n\rangle\rangle s_n \langle\langle n|, \quad s_k > 0.$$

# MODELS ON COMPLEX CONTOURS $\mathcal{C}^{(N)}$

## FIRST STEP: SPIKED HO

Miloslav Znojil,

*PT symmetric harmonic oscillators*

Phys. Lett. A 259 (1999) 220 - 3.



Innovation: PT-symmetric paths  $\mathcal{C}^{(N)}$  N-times encircle  $x = 0$ ,

$$\left( -\frac{d^2}{dx^2} + \frac{\ell(\ell+1)}{x^2} + x^2 \right) \psi(x) = E \psi(x)$$

to be studied in the bound-state and scattering regime

## 1. along straight contour

$$\mathcal{C}^{(0)} = \{x \mid x = t - i\varepsilon, t \in \mathbb{R}\}$$

“twice as many” bound-state levels

$$E = E_{n,\ell,\pm} = 4n + 2 \pm 2\alpha(\ell)$$

## 2. along loops

$$\mathcal{C}^{(N)} = \mathcal{D}_{(\varepsilon, N)}^{(PTSQM, tobogganic)}$$

## on multisheeted Riemann surfaces

with, say,  $\varphi \in (-(N+1)\pi, N\pi)$  in

$$\varrho(\varphi, N) = \sqrt{1 + \tan^2 \frac{\varphi + \pi/2}{2N+1}}$$

$$\mathcal{C}^{(N)} = \{x = \varepsilon \varrho(\varphi, N) e^{i\varphi}, \varepsilon > 0\}.$$



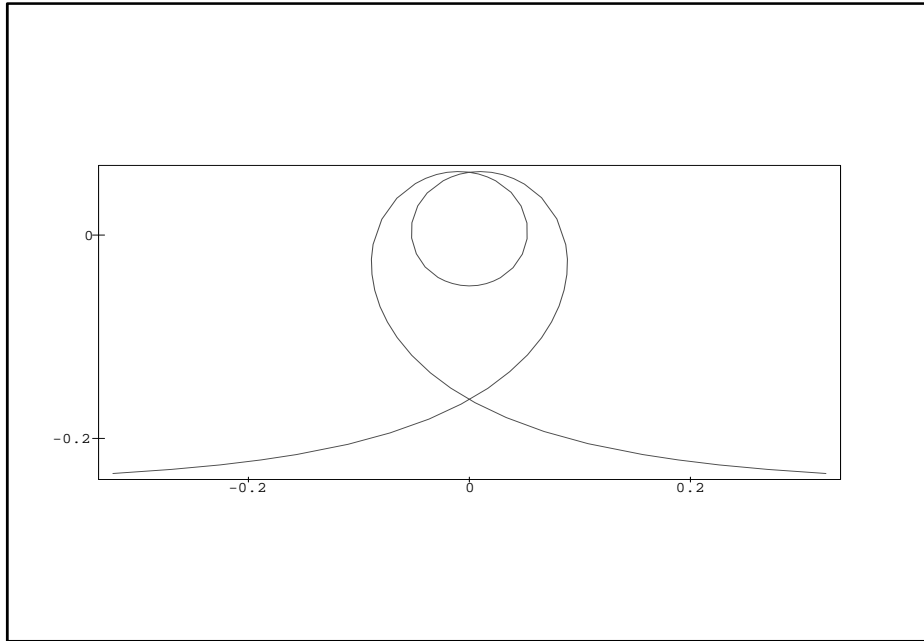


Figure 1: Complex trajectory  $\mathcal{C}^{(2)}$  of the harmonic-oscillator toboggan

What is  $\mathcal{PT}$ -symmetry in the presence of branch points?

ambiguity:

$$\left(\mathcal{C}^{(N)}\right)^\dagger = \mathcal{D}_{(\varepsilon', N)}^{(PTSQM, \text{tobogganic})}, \quad \varepsilon' = \varepsilon \cdot e^{\pm i\pi}.$$

in both cases, **rotation** along Riemann surface.

## SECOND STEP: AHOs in QES regime

Miloslav Znojil (quant-ph/0502041):

*PT-symmetric quantum toboggans*

Phys. Lett. A 342 (2005) 36-47.

$$\left[ -\frac{d^2}{dx^2} + V(x) \right] \psi(x) = E \psi(x)$$

$$\operatorname{Re} V(x) = +\operatorname{Re} V(-x) \text{ and } \operatorname{Im} V(x) = -\operatorname{Im} V(-x).$$

$$\psi(\pm \operatorname{Re} L + i \operatorname{Im} L) = 0, \quad |L| \gg 1 \quad \text{or} \quad |L| \rightarrow \infty.$$

$$V(x) = x^{10} + \text{asymptotically smaller terms}$$

$$\psi(x) = e^{-x^6/6} + \text{asymptotically smaller terms}$$



**reparametrized**

$$\psi(x) = \exp \left[ -\frac{1}{6} \varrho^6 \cos 6\varphi + \text{asymptotically less relevant terms} \right],$$

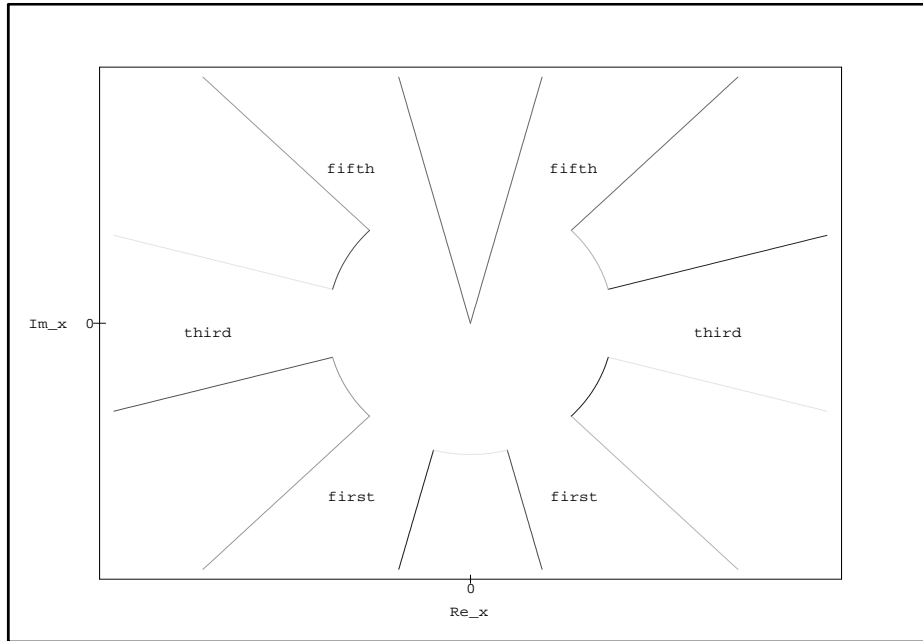


Figure 2: Domain of allowed asymptotics of decadic-oscillator contours

## closed formulae

$$\Omega_{(first\ right)} = \left( -\frac{\pi}{2} + \frac{\pi}{12}, -\frac{\pi}{2} + \frac{3\pi}{12} \right),$$

$$\Omega_{(first\ left)} = \left( -\frac{\pi}{2} - \frac{\pi}{12}, -\frac{\pi}{2} - \frac{3\pi}{12} \right),$$

$$\Omega_{(third\ right)} = \left( -\frac{\pi}{2} + \frac{5\pi}{12}, -\frac{\pi}{2} + \frac{7\pi}{12} \right), \quad \dots$$

$$\dots \quad \Omega_{(fifth\ left)} = \left( -\frac{\pi}{2} - \frac{9\pi}{12}, -\frac{\pi}{2} - \frac{11\pi}{12} \right).$$

## $\mathcal{PT}$ -symmetric transformations changing $\beta$

Initial  $\mathcal{PT}$ -symmetric model

$$\left[ -\frac{d^2}{dx^2} - (ix)^2 + \lambda W(ix) \right] \psi(x) = E(\lambda) \psi(x), \quad W(ix) = \sum_{\beta} g_{\beta} (ix)^{\beta}.$$

change variables,

$$ix = (iy)^{\alpha}, \quad \psi(x) = y^{\rho} \varphi(y).$$

at  $\alpha > 0$  we have

$$i dx = i^{\alpha} \alpha y^{\alpha-1} dy, \quad \frac{(iy)^{1-\alpha}}{\alpha} \frac{d}{dy} = \frac{d}{dx}.$$

“new” Schrödinger equation

$$y^{1-\alpha} \frac{d}{dy} y^{1-\alpha} \frac{d}{dy} y^\varrho \varphi(y) + i^{2\alpha} \alpha^2 \left[ -(iy)^{2\alpha} + \lambda W[(iy)^\alpha] - E(\lambda) \right] y^\varrho \varphi(y) = 0.$$

Its first term is a sum

$$y^{1-\alpha} \frac{d}{dy} y^{1-\alpha} \frac{d}{dy} y^{[(\alpha-1)/2]} \varphi(y) = y^{2+\varrho-2\alpha} \frac{d^2}{dy^2} \varphi(y) + \varrho(\varrho-\alpha) y^{\varrho-2\alpha} \varphi(y), \quad \varrho = \dots$$

Thus, the new Schrödinger equation is

$$-\frac{d^2}{dy^2} \varphi(y) + \frac{\alpha^2 - 1}{4y^2} \varphi(y) + (iy)^{2\alpha-2} \alpha^2 \left[ -(iy)^{2\alpha} + \lambda W[(iy)^\alpha] - E(\lambda) \right] \varphi(y) =$$

## Quasi-exact toboggans

$$-\frac{d^2}{dx^2} \varphi(x) + \frac{\ell(\ell+1)}{x^2} \varphi(x) + [x^{4q+2} + g_{4q} x^{4q} + \dots + g_2 x^2] \varphi(x) = E \varphi(x).$$

$$V_f(x) = x^6 + f_4 x^4 + f_2 x^2 + f_{-2} x^{-2},$$

$$V_g(y) = -(iy)^2 + i g_1 y + g_{-1} (iy)^{-1} + g_{-2} (iy)^{-2},$$

$$V_h(y) = -(iy)^{2/3} + h_{-2/3} (iy)^{-2/3} + h_{-4/3} (iy)^{-4/3} + h_{-2} (iy)^{-2}.$$

mutually interrelated by the map () with trivial  $\alpha = 1$  for  $V_f$

and nontrivial  $\alpha = 1/2$  for  $V_g$  or  $\alpha = 1/3$  for  $V_h$ .

.

**SUB-SUMMARY:**

changes of variables modify the size

of the angle of the “rotation”  $R$

## THIRD STEP: PERTURBED HO

harmonic oscillators living on a complex curve:

Miloslav Znojil (quant-ph/0606166):

*Spiked harmonic quantum toboggans*



Polynomially perturbed harmonic oscillator (Buslaev and Grecchi)

$$V(x) = x^2 + \sum_{\beta} g_{(\beta)} x^{\beta} \quad (1)$$

may live on **topologically nontrivial trajectories**  $\mathcal{C}^{(N)}$

Two independent asymptotically exponential solutions,

$$\psi(x) \approx \psi^{(\pm)}(x) = e^{\pm x^2/2}, \quad |x| \gg 1 \quad (2)$$

= multivalued analytic functions. A ray  $x_{\theta} = \rho e^{i\theta}$  chosen.

“physical” [i.e., asymptotically vanishing  $\psi^{(phys)}(x)$ ] and

“unphysical” [i.e., asymptotically “exploding”  $\psi^{(unphys)}(x)$ ],

$$\psi^{(-)}(x) = \begin{cases} \psi^{(phys)}(x), & k\pi + \theta \in \left(-\frac{\pi}{4}, \frac{\pi}{4}\right), \\ \psi^{(unphys)}(x), & k\pi + \theta \in \left(\frac{\pi}{4}, \frac{3\pi}{4}\right) \end{cases}, \quad k \in \mathbb{Z}$$

and, less often,

$$\psi^{(+)}(x) = \begin{cases} \psi^{(unphys)}(x), & k\pi + \theta \in \left(-\frac{\pi}{4}, \frac{\pi}{4}\right), \\ \psi^{(phys)}(x), & k\pi + \theta \in \left(\frac{\pi}{4}, \frac{3\pi}{4}\right) \end{cases}, \quad k \in \mathbb{Z}.$$

Riemann-surface values of the “tobogganic trajectories”

$$\mathcal{D}_{(\varepsilon, N)}^{(PTSQM, \text{tobogganic})} = \left\{ x = \varepsilon \varrho(\varphi, N) e^{i\varphi} \mid \varphi \in (-(N+1)\pi, N\pi) \right\}$$

$$\varrho(\varphi, N) = \sqrt{1 + \tan^2 \frac{\varphi + \pi/2}{2N+1}}$$

What is  $\mathcal{PT}$ -symmetry in the presence of branch points?

parity-like operators  $\mathcal{P}^{(\pm)} : x \rightarrow x \cdot \exp(\pm i\pi)$  (continuous)

map  $\mathcal{K}_n$  into neighboring Riemann sheets  $\mathcal{K}_{n\pm 1}$ .

two eligible rotation-type innovations  $\mathcal{T}^{(\pm)}$

same for  $\mathcal{P}^{(\pm)}\mathcal{T}^{(\pm)}$  and

$$\left(\mathcal{C}^{(N)}\right)^\dagger = \mathcal{D}_{(\varepsilon', N)}^{(PTSQM, tobogganic)}, \quad \varepsilon' = \varepsilon \cdot e^{\pm i\pi}.$$

## Bound states along the toboggans

Differential Schrödinger equation

$$H_{(\mathcal{PT})} \psi(x) = E \psi(x)$$

with, traditionally, Dirichlet

$$\psi(\varrho \cdot e^{i\theta}) = 0, \quad \varrho \gg 1$$

somewhere, traditionally, inside the wedges,

$$\theta + k_{i,f} \pi \in \left(-\frac{\pi}{4}, \frac{\pi}{4}\right)$$

defines bound states, often with real spectra.

For toboggans we selected  $k_f = 0$  and  $k_i = 1$  at  $N = 0$ ,

$k_f = -1$  and  $k_i = 2$  at  $N = 1$ ,

$k_f = -2$  and  $k_i = 3$  at  $N = 2$  etc.

## Scattering along the toboggans

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independent solutions become equally large and oscillate

not only for  $E > 0$  when  $V(x) = 0$  at  $\varrho \rightarrow \infty$  but also

for any potential including our  $x^2$ -dominated one.

“in” and “out” wedge boundaries are

$$\mathcal{A}_{(L)}^{(N)} \rightarrow \varrho e^{i\theta_{in}}, \quad \theta_{in} = -(N + 3/4) \pi,$$

$$\mathcal{A}_{(L)}^{(N)} \rightarrow \varrho e^{i\theta_{out}}, \quad \theta_{out} = (N - 1/4) \pi,$$

$$\mathcal{A}_{(U)}^{(N)} \rightarrow \varrho e^{i\theta_{in}}, \quad \theta_{in} = -(N + 5/4) \pi,$$

$$\mathcal{A}_{(U)}^{(N)} \rightarrow \varrho e^{i\theta_{out}}, \quad \theta_{out} = (N + 1/4) \pi.$$



We require the following incoming-beam normalization,

$$\psi(\varrho \cdot e^{i\theta_{in}}) = \psi_{(i)}(x) + B \psi_{(r)}(x), \quad \varrho \gg 1, \quad \theta_{in} = \text{fixed}$$

and outgoing-beam normalization,

$$\psi(\varrho \cdot e^{i\theta_{out}}) = (1 + F) \psi_{(t)}(x), \quad \varrho \gg 1, \quad \theta_{out} = \text{fixed}$$

with incident and reflected waves  $\psi_{(i,r)}(x) \approx e^{\pm i\varrho^2/2}$ .

$B$  = “backward scattering” and  $F$  = “forward scattering”

## Exactly solvable model

Schrödinger differential equation

$$\left[ -\frac{d^2}{dx^2} + \frac{\alpha^2 - 1/4}{x^2} + x^2 \right] \psi(x) = E \psi(x), \quad \alpha = \ell + \frac{1}{2},$$

set  $x^2 = -ir$  along the first nontrivial scattering path  $\mathcal{A}_{(L)}^{(0)}$ .

“in” branch with  $r \ll -1$  and “out” branch with  $r \gg +1$

$$\chi_{(\alpha)}(r) = r^{\frac{1}{4} + \frac{\alpha}{2}} e^{ir/2} {}_1F_1 \left( \frac{\alpha + 1 - \mu}{2}, \alpha + 1; -ir \right), \quad E = 2\mu$$

linearly independent partner  $\chi_{(-\alpha)}(r)$  ( $\alpha \neq n \in \mathbb{N}$ ).

$|r| \gg 1$  estimate,

$$r^{\frac{1}{4} + \frac{\alpha}{2}} \chi_{(\alpha)}(r) \approx e^{ir/2} \frac{r^{\mu/2} \exp[-i\pi(\alpha+1)/4]}{\Gamma[(\alpha+1+\mu)/2]} + e^{-ir/2} \frac{r^{-\mu/2} \exp[+i\pi(\alpha+1)/4]}{\Gamma[(\alpha+1-\mu)/2]}.$$

“rigid” at  $\alpha > 0$ ,  $\mu = E/2 > 0$  and  $|x| = |\sqrt{r}| \gg 1$

$$\psi_{in,out}(x) \approx r^{-1/4 + (\alpha + \mu)/2} e^{ir/2} \frac{\exp[-i\pi(-\alpha+1)/4]}{\Gamma[(-\alpha+1+\mu)/2]} + \dots$$

Note that  $\psi_{out}^{(Coul)}(r)$  becomes “distorted” by power-law as well,

$$\sin(\kappa r + const) \rightarrow \sin(\kappa r + const \cdot \log r + const).$$

## Toboggans in potentials with more spikes

two branch points (say, in  $x = \pm 1$ )

$$V(x) = x^2 + \frac{G}{(x-1)^2} + \frac{G^*}{(x+1)^2}$$

### Sub-summary of the tobogganic study

Quantum particle is assumed moving along  $\mathcal{PT}$ -symmetric

“toboggan” paths which  $N$ -times encircle the branch point in the origin. Both bound states and scattering.

# MODELS ON AN INTERVAL

# FIRST STEP: $K$ COUPLED SQUARE WELLS

RECOLLECT our main idea: work with **non-metric**,

$$\mathbf{P} \neq \mathbf{P}^\dagger$$

pattern: if  $H^\dagger = \mathbf{R} H \mathbf{R}^{-1}$  and  $\mathbf{R} \neq \mathbf{R}^\dagger$ ,

we have the symmetry,

$$H \mathcal{S} = \mathcal{S} H, \quad \mathcal{S} = [\mathbf{R}^{-1}]^\dagger \mathbf{R}.$$

Let's choose  $\mathbf{R}^{-1} = \mathbf{R}^\dagger$  with  $\mathcal{S} = \mathbf{R}^2$  and

$$\mathbf{R} = \begin{pmatrix} 0 & \dots & 0 & 0 & \mathcal{P} \\ \mathcal{P} & 0 & \dots & 0 & 0 \\ 0 & \mathcal{P} & 0 & \dots & 0 \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ 0 & \dots & 0 & \mathcal{P} & 0 \end{pmatrix}$$

at any  $K$ .



## Toy model with two coupled channels

(a) Hamiltonian:

$$H_{(kinetic)} = \begin{pmatrix} -\frac{d^2}{dx^2} & 0 \\ 0 & -\frac{d^2}{dx^2} \end{pmatrix},$$

$$H_{(interaction)} = \begin{pmatrix} V_a(x) & W_b(x) \\ W_a(x) & V_b(x) \end{pmatrix}.$$

(b) its  $\theta$ -pseudo-Hermiticity:

$$\theta = \theta^\dagger = \begin{pmatrix} 0 & \mathcal{P} \\ \mathcal{P} & 0 \end{pmatrix} = \theta^{-1}$$

**(c)** potentials [ $x \in (-1, 0)$ ]:

$$\text{Im } W_a(x) = X > 0,$$

$$\text{Im } W_b(x) = Y > 0,$$

$$\text{Im } V_a(x) = \text{Im } V_b(x) = Z,$$

**(d)** spin-like ( $\sigma = \pm 1$ ) symmetry:

$$\Omega = \begin{pmatrix} 0 & \omega^{-1} \\ \omega & 0 \end{pmatrix}, \quad \omega = \sqrt{\frac{X}{Y}} > 0.$$

**(e)** solvable and physical

(f) **simple** in a modified Dirac's notation

$$H|E, \sigma\rangle = E|E, \sigma\rangle, \quad \Omega|E, \sigma\rangle = \sigma|E, \sigma\rangle$$

$$\langle\langle E, \sigma|H = E\langle\langle E, \sigma|, \quad \langle\langle E, \sigma|\Omega = \sigma\langle\langle E, \sigma|$$

$$\text{biog. : } 0 = \langle\langle E', \sigma'|E, \sigma\rangle \times \begin{cases} (E' - E) \\ (\sigma' - \sigma) \end{cases}$$

$$\text{cpl. : } I = \sum_{E, \sigma} |E, \sigma\rangle \frac{1}{\langle\langle E, \sigma|E, \sigma\rangle} \langle\langle E, \sigma|$$

$$\text{sp. : } H = \sum_{E, \sigma} |E, \sigma\rangle \frac{E}{\langle\langle E, \sigma|E, \sigma\rangle} \langle\langle E, \sigma|$$

$$\Omega = \sum_{E, \sigma} |E, \sigma\rangle \frac{\sigma}{\langle\langle E, \sigma|E, \sigma\rangle} \langle\langle E, \sigma|$$

**FULL MODEL WITH  $K$  COUPLED SQUARE**

**WELLS**

$$V(x) = V_{(Z)}(x) = -i Z \operatorname{sign}(x), \quad x \in (-1, 1)$$

**STILL HAS ITS MERITS!**

(a) = **ODE** with constant coefficients:

$$\begin{aligned} -\frac{d^2}{dx^2} \varphi^{(m)}(x) + \sum_{j=1}^K V_{Z(m,j)}(x) \varphi^{(j)}(x) &= \\ &= E \varphi^{(m)}(x), \quad m = 1, 2, \dots, K \end{aligned}$$

(b) = **solvable** by an ansatz for  $\varphi^{(m)}(x)$

$$= \begin{cases} C_L^{(m)} \sin \kappa_L(x + 1), & x < 0, \\ C_R^{(m)} \sin \kappa_R(-x + 1), & x > 0 \end{cases}$$

(c) = giving  $Z_{(eff)}^{(m)}(K)$  as eigenvalues of

$$\begin{pmatrix} Z_{(1,1)} & Z_{(1,2)} & \dots & Z_{(1,K)} \\ Z_{(2,1)} & Z_{(2,2)} & \dots & Z_{(2,K)} \\ \vdots & \ddots & \ddots & \vdots \\ Z_{(K,1)} & Z_{(K,2)} & \dots & Z_{(K,K)} \end{pmatrix}.$$

**(d) quantized** easily:

$$= \text{ansatz} \rightarrow \kappa_R = s + it = \kappa_L^*, \quad s > 0,$$

$$\rightarrow t = t_{\text{first curve}}(s) = Z_{(eff)}^{(m)}(K)/(2s)$$

plus **matching** in the origin:

$$\rightarrow \kappa_L \cotan \kappa_L = -\kappa_R \cotan \kappa_R$$

gives the second, “universal” curve

$t = t_{\text{exact}}(s)$  with implicit definition

$$2s \sin 2s + 2t \sinh 2t = 0$$



→ **energies** via **intersections** at any  $K$ ,

$$E_n = s_n^2 - t_n^2, \quad n = 0, 1, \dots .$$

## Technicalities

(a) take generalized parities  $\mathbf{R} = \mathbf{R}_{(K,L)} = \mathcal{P} \mathbf{r}_{(K,L)}$ ,

$$\mathbf{r}_{(K,L+1)} = \mathbf{r}_{(K,1)} \mathbf{r}_{(K,L)}, \quad L = 1, 2, \dots$$

$$\left[ \mathbf{r}_{(K,L)} \right]^K = I, \quad \mathbf{r}_{(K,K-L)} = \left[ \mathbf{r}_{(K,L)} \right]^\dagger.$$

(b) adapt  $H$  to  $\mathbf{R}$ :

$$\mathbf{A} = \mathbf{r}_{(K,K-L)} \cdot \mathbf{A}^T \cdot \mathbf{r}_{(K,L)}$$

Let us pick up  
**THREE** channels

$$\mathbf{R}_{(3,1)} = \begin{pmatrix} 0 & 0 & \mathcal{P} \\ \mathcal{P} & 0 & 0 \\ 0 & \mathcal{P} & 0 \end{pmatrix} = \mathbf{R}_{(3,2)}^\dagger = \mathbf{R}_{(3,2)}^{-1},$$

$$\mathbf{R}_{(3,2)} = \begin{pmatrix} 0 & \mathcal{P} & 0 \\ 0 & 0 & \mathcal{P} \\ \mathcal{P} & 0 & 0 \end{pmatrix} = \mathbf{R}_{(3,1)}^\dagger = \mathbf{R}_{(3,1)}^{-1}.$$

giving the **unique**

$$\mathbf{A}_{(interaction)} = \begin{pmatrix} Z & X & X \\ X & Z & X \\ X & X & Z \end{pmatrix}, \quad L = 1, 2$$

**solutions** with the ‘first curve’

$$t = t^{(\sigma)}(s) = \frac{1}{2s} Z_{eff}(\sigma), \quad \sigma = 1, 2, 3$$

$$Z_{eff}(1) = Z + 2X, \quad Z_{eff}(2, 3) = Z - X$$

$$\left( C_{(1)}^{(a)}, C_{(1)}^{(b)}, C_{(1)}^{(c)} \right) \sim (1, 1, 1)$$

$$\left( C_{(2)}^{(a)}, C_{(2)}^{(b)}, C_{(2)}^{(c)} \right) \sim (1, -1, 0)$$

$$\left( C_{(3)}^{(a)}, C_{(3)}^{(b)}, C_{(3)}^{(c)} \right) \sim (1, 1, -2).$$

Energies real:  $Y - Z_{crit} \leq Z \leq Z_{crit} - 2Y$ .

[vertices  $(0, \pm 4.475)$  and  $(2.98, -1.49)$ ].

## Numerical interlude



(a) **weakly** non-Hermitian regime:

$$s = s_n = \frac{(n+1)\pi}{2} + \tau \frac{Q_n}{2}, \quad \tau = (-1)^n$$

→ solvable by **iterations**:

the first small quantity  $\varrho \equiv \frac{1}{L} = \frac{1}{(n+1)\pi}$

the second one  $\alpha = \frac{2Z_{eff}(\sigma)}{L}$  or  $\beta = \alpha\varrho$

→ a “generalized continued fraction”

$$Q = \arcsin \left( 2t \frac{\varrho}{1 + \tau Q \varrho} \sinh 2t \right), \quad 2t = \frac{\alpha}{1 + \tau Q \varrho}.$$

**(b) intermediate** non-Hermiticities: *ad hoc*:

$$\rightarrow \arcsin(x) = x + \frac{1}{6}x^3 + \frac{3}{40}x^5 + \dots$$

$$Q = Q(\alpha, \beta) = \alpha\beta\Omega(\alpha, \beta),$$

$$\begin{aligned} \rightarrow \Omega(\alpha, \beta) &= 1 + c_{10}\alpha^2 + c_{01}\beta^2 + \\ &+ c_{20}\alpha^4 + c_{11}\alpha^2\beta^2 + c_{02}\beta^4 + \mathcal{O}(\alpha^6) \end{aligned}$$

$\rightarrow$  equation **re-arranged**:

$$[1 + \tau\beta^2\Omega(\alpha, \beta)] \operatorname{arcsinh}(\Lambda) = \alpha$$

$$\Lambda = [1 + \tau\beta^2\Omega(\alpha, \beta)]^2 \frac{1}{\beta} \sin[\alpha\beta\Omega(\alpha, \beta)]$$

**(c) formulae:**

→ leading order relation

$$0 = \left(-\frac{1}{6} + c_{10} + c_{01}\varrho^2 + 3\tau\varrho^2\right)\alpha^3 + \dots$$

determines the first two coefficients,

$$c_{10} = \frac{1}{6}, \quad c_{01} = -3\tau,$$

the next-order  $O(\alpha^5)$  gives

$$c_{20} = \frac{1}{120}, \quad c_{11} = \frac{1-8\tau}{6}, \quad c_{02} = 15$$

and the  $1 + O(\alpha^4)$  formula

$$Q_n = \frac{4 Z_{eff}^2}{(n+1)^3 \pi^3} + \frac{8 Z_{eff}^4}{3 (n+1)^5 \pi^5} \left( 1 + \frac{18 (-1)^{n+1}}{(n+1)^2 \pi^2} \right).$$

## **FOUR channels**

$K = 4$  warning:  $\mathbf{R}_{(4,2)}$  is Hermitian,

mere six constraints upon 16 couplings.

Not enough symmetry for us.

Unique coupling-matrix left,

$$\mathbf{A}_{(interaction)} = \begin{pmatrix} Z & U & D & U \\ L & Z & L & D \\ D & U & Z & U \\ L & D & L & Z \end{pmatrix}, \quad L = 1, 3.$$

**solution :**

Four shifts of the effective  $Z$ ,

$$[-D, -D, D + 2\sqrt{UL}, D - 2\sqrt{UL}]$$

with respective eigenvectors

$$\{1, 0, -1, 0\}, \{0, 1, 0, -1\},$$

$$\{U, \pm\sqrt{UL}, U, \pm\sqrt{UL}\}.$$

**remark:**

from the pseudo-parity

$$\mathbf{r}^{(permuted)} = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix}$$



repartitioned model

$$\mathbf{A}_{(interaction)}^{(permuted)} = \left( \begin{array}{cc|cc} Z & D & U & U \\ D & Z & U & U \\ \hline L & L & Z & D \\ L & L & D & Z \end{array} \right), \quad L = 1, 3.$$

**FIVE channels**

$$\mathbf{r}_{(5,1)} = \begin{pmatrix} 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix}, \dots,$$

all lead to the same

$$\mathbf{A}_{(interaction)} = \begin{pmatrix} Z & X & D & D & X \\ X & Z & X & D & D \\ D & X & Z & X & D \\ D & D & X & Z & X \\ X & D & D & X & Z \end{pmatrix} .$$

→ exceptional eigenvalue  $F_0 = 2D + 2X$  giving eigenvector  
 $\{1, 1, 1, 1, 1\}$

→ the reduced  $Z = 0$  matrix  $A$  has the pair of the twice  
degenerate eigenvalues with 2 respective eigenvectors

$$F_{\pm} = \frac{1}{2} [-D - X \pm \sqrt{5}(-D + X)]$$

$$\{1 \mp \sqrt{5}, -1 \pm \sqrt{5}, 2, 0, -2\}$$

$$\{1 \mp \sqrt{5}, -2, 0, 2, -1 \pm \sqrt{5}\}.$$

When do the energies remain real?

(a) a numerical **algorithm**:

$$\frac{Q}{2} \Big|_{crit} \equiv \varepsilon(t_{crit}) = \pi - \frac{Z_{crit}}{2t_{crit}},$$

$$\sin [2 \varepsilon(t)] = \frac{t \sinh 2t}{\pi - \varepsilon(t)},$$

$$\varepsilon_{(lower)}(t) = \pi/4 \text{ and } \varepsilon_{(upper)}(t) = 0.$$

$$\partial_t \varepsilon(t_{crit}) = \frac{Z_{crit}}{2t_{crit}^2},$$

$$\partial_t \varepsilon(t) = \frac{\sinh 2t + 2t \cosh 2t}{2 [\pi - \varepsilon(t)] \cos 2\varepsilon(t) - \sin 2\varepsilon(t)}$$

a sample result:

$$\rightarrow t_{crit} \in (0.839393459, 0.839393461),$$

$$\rightarrow s_{crit} \in (2.665799044, 2.665799069),$$

$$\rightarrow E_{crit} \in (6.401903165, 6.401903294).$$



Table 1:

iteration $N$	$Z_{crit}^{(lower)}$	$Z_{crit}^{(upper)}$
0	4.299	4.663
2	4.4614	4.4857
4	4.47431	4.47601
6	4.475239	4.475357
8	4.47530381	4.4753119
10	4.475308262	4.475308823
	81	
12	4.475308560	4.475308614

## **SIX channels**

.

$$L = 3$$

21 free parameters

**Hermitian  $\mathbf{R}$**  and a weak symmetry,

skipped

$L = 1$  or  $L = 5$ :

$$\mathbf{r}_{(6,1)}^{(permuted)} = \begin{pmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \end{pmatrix},$$

$\mathbf{A}$  = asymmetric:

$$\mathbf{A}_{(interaction)}^{(permuted)} = \begin{pmatrix} Z & Y & G & B & F & B \\ X & Z & C & F & C & G \\ \hline F & B & Z & Y & G & B \\ C & G & X & Z & C & F \\ \hline G & B & F & B & Z & Y \\ C & F & C & G & X & Z \end{pmatrix} .$$

eigenvalues = roots of quadratic equations

two = non-degenerate, two = doubly degenerate

$L = 2$  or  $L = 4$ :

$$\mathbf{r}_{(6,2)}^{(permuted)} = \begin{pmatrix} 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 0 \end{pmatrix},$$

$\mathbf{A}$  = symmetric:

$$\mathbf{A}_{(interaction)}^{(permuted)} = \left( \begin{array}{ccc|ccc} Z & X & X & C & D & G \\ X & Z & X & G & C & D \\ X & X & Z & D & G & C \\ \hline C & G & D & A & B & B \\ D & C & G & B & A & B \\ G & D & C & B & B & A \end{array} \right).$$

eigenvalues = roots of quadratic equations

two = non-degenerate, two = doubly degenerate

$2M - 1$  channels with  $M = 4$  etc



$M = 4$ : four free parameters at all  $L$ :

$$\mathbf{A}_{(interaction)} = \begin{pmatrix} Z & X & Y & D & D & Y & X \\ X & Z & X & Y & D & D & Y \\ Y & X & Z & X & Y & D & D \\ D & Y & X & Z & X & Y & D \\ D & D & Y & X & Z & X & Y \\ Y & D & D & Y & X & Z & X \\ X & Y & D & D & Y & X & Z \end{pmatrix}.$$

Cardano formulae.

**$2M$  channels with  $M = 4$  etc**

37 free parameters for  $(2M, L) = (8, 4)$  (29 in pairs),

16 free parameters for  $(2M, L) = (8, 2)$  (all in quadruplets),

8 free parameters for  $(2M, L) = (8, 1)$  etc, (all in octuplets).

## Summarizing asymmetrically coupled square wells

- (1) Recipe  $\mathcal{P} \rightarrow \mathcal{R}$  allowing **finite rotations** = feasible.
- (2) Models carrying **new type of symmetries**.
- (3) **New** “quantum practice”, **quasi-Hermitian**.

**SECOND STEP: SQUARE WELLS**  
**DISCRETIZED,**  
so that the **REALITY OF SPECTRA**  
can be proved **MORE** easily,  
by the standard **MATRIX TECHNIQUES**

## Runge-Kutta recipe

$$x_0 = -1, \quad x_k = x_{k-1} + h = -1 + kh,$$

$$h = \frac{2}{N}, \quad k = 1, 2, \dots, N$$

$$-\psi''(x) \approx -\frac{\psi(x_{k+1}) - 2\psi(x_k) + \psi(x_{k-1}))}{h^2}$$

$$\psi(x_0) = \psi(x_N) = 0$$

# Sample potentials

$$V(x) = [V(-x)]^* , \quad \psi(\pm 1) = 0.$$

$$V(x) = \begin{cases} +i Z_n & x \in (-\ell_n, -\ell_{n-1}), \\ -i Z_n & x \in (\ell_{n-1}, \ell_n), \end{cases} ,$$

$$n = 1, 2, \dots, q + 1 ,$$

$$\ell_0 = 0 < \ell_1 < \dots < \ell_{q+1} = 1.$$

**Equations:** original

$$\left[ -\frac{d^2}{dx^2} + V(x) \right] \psi(x) = E \psi(x).$$

and discretized

$$\begin{aligned} & -\frac{\psi(x_{k+1}) - 2\psi(x_k) + \psi(x_{k-1}))}{h^2} \\ & = i \operatorname{sign}(x_k) Z \psi(x_k) + E \psi(x_k). \end{aligned}$$



The Weigert's  $N = 4$  matrix model

$$\cdot \begin{pmatrix} 2 + \frac{1}{4}iZ & -1 & 0 \\ -1 & 2 & -1 \\ 0 & -1 & 2 - \frac{1}{4}iZ \end{pmatrix} \begin{pmatrix} \alpha_0 \\ \gamma \\ \beta_0 \end{pmatrix} = \frac{1}{4}E \begin{pmatrix} \alpha_0 \\ \gamma \\ \beta_0 \end{pmatrix} \cdot$$

**easily generalized**, (PTO)

$$\left( \begin{array}{cccc|c|c} i\xi - F & -1 & & & & \\ -1 & i\xi - F & \cdots & & & \\ & & \cdots & \cdots & -1 & \\ & & & -1 & i\xi - F & -1 \\ \hline & & & -1 & -F & -1 \\ \hline & & & & -1 & -i\xi - F & \cdots \\ & & & & & -1 & \cdots \\ & & & & & & \cdots \end{array} \right)$$

$$\psi = \begin{pmatrix} \alpha_0 \\ \alpha_1 \\ \vdots \\ \alpha_n \\ \hline \gamma \\ \hline \beta_n \\ \vdots \\ \beta_0 \end{pmatrix}$$

# Solutions

$$F = E h^2 - 2 \text{ and } \xi = Z h^2$$

$$\alpha_k = a_k + i b_k, \quad \beta_k = a_k - i b_k \equiv \alpha_k^*,$$

$$\alpha_k = (a + i b) U_k \left( \frac{-F + i \xi}{2} \right), \quad k = 0, 1, \dots, n$$

$$U_k(\cos \theta) = \frac{\sin(k+1)\theta}{\sin \theta}.$$

# Conditions

$$\begin{aligned}\gamma &= (a + ib) U_{n+1} \left( \frac{-F + i\xi}{2} \right) \\ &= (a - ib) U_{n+1} \left( \frac{-F - i\xi}{2} \right)\end{aligned}$$

$$\begin{aligned}F \gamma &= - (a + ib) U_n \left( \frac{-F + i\xi}{2} \right) - \\ &\quad - (a - ib) U_n \left( \frac{-F - i\xi}{2} \right).\end{aligned}$$

## Robust solution at $F = 0$ .

Parameter  $a$  must vanish for even  $n = 0, 2, 4, \dots$  (and we may normalize  $b = 1$ ) while  $b = 0$  and  $a = 1$  for the odd  $n = 1, 3, 5, \dots$

Secular equation in two alternative forms,

$$U_n\left(\frac{1}{2}i\xi\right) - U_n\left(\frac{1}{2}i\xi\right) = 0, \quad n = 2m,$$

$$U_n\left(\frac{1}{2}i\xi\right) + U_n\left(-\frac{1}{2}i\xi\right) = 0, \quad n = 2m + 1$$

satisfied identically at any  $m = 0, 1, \dots$  **QED.**

$$E = E_{n+2} = 2/h^2 = N^2/2 = 2(n+2)^2$$

## Generic solutions at $F \neq 0$ .

$$U_{n+1} \left( \frac{-F + i\xi}{2} \right) (a + ib) = U_{n+1} \left( \frac{-F - i\xi}{2} \right) (a - ib)$$

$$T_{n+1} \left( \frac{-F + i\xi}{2} \right) (a + ib) = -T_{n+1} \left( \frac{-F - i\xi}{2} \right) (a - ib)$$

**define  $(a, b) = (a_0, b_0)$  and their ratio,**

$$T_{n+1} \left( \frac{-F + i\xi}{2} \right) U_{n+1} \left( \frac{-F - i\xi}{2} \right) + T_{n+1} \left( \frac{-F - i\xi}{2} \right) U_{n+1} \left( \frac{-F + i\xi}{2} \right) = 0.$$

**$\implies$  the energies  $F$  as functions of the couplings  $\xi$ .**

# Re-parametrization

$$\frac{-F + i\xi}{2} = \cos \varphi, \quad \operatorname{Re} \varphi = \alpha, \quad \operatorname{Im} \varphi = \beta$$

**i.e.,**

$$\frac{1}{2}F = -\cos \alpha \cosh \beta, \quad \frac{1}{2}\xi = -\sin \alpha \sinh \beta$$

**and, in the opposite direction,**

$$\cos \alpha = -\frac{1}{2 \cosh \beta} F,$$

$$\sinh \beta = \frac{1}{2\sqrt{2}} \sqrt{F^2 + \xi^2 - 4 + \sqrt{(F^2 + \xi^2 - 4)^2 + 16 \xi^2}}.$$



**gives trigonometric secular equation**

$$\operatorname{Re} \frac{\sin[(n+1)\varphi] \cos[(n+1)\varphi^*]}{\sin \varphi} = 0.$$

# Inspection.

In the domain with negative  $\beta < 0$ , roots

$\alpha \in (0, \pi/2)$  at the negative  $F < 0$ , and

$\alpha \in (\pi/2, \pi)$  at the positive  $F > 0$ .

The first roots in closed form,

$$F_0 = 0, \quad F_{\pm} = \pm\sqrt{2 - \xi^2}, \quad n = 0,$$

$$F_0 = 0, \quad F_{\pm, \pm} = \pm\sqrt{2 - \xi^2 \pm \sqrt{1 - 4\xi^2}}, \quad n = 1$$

etc. Critical values  $Z = Z_{(crit)}(N)$  (PTO).



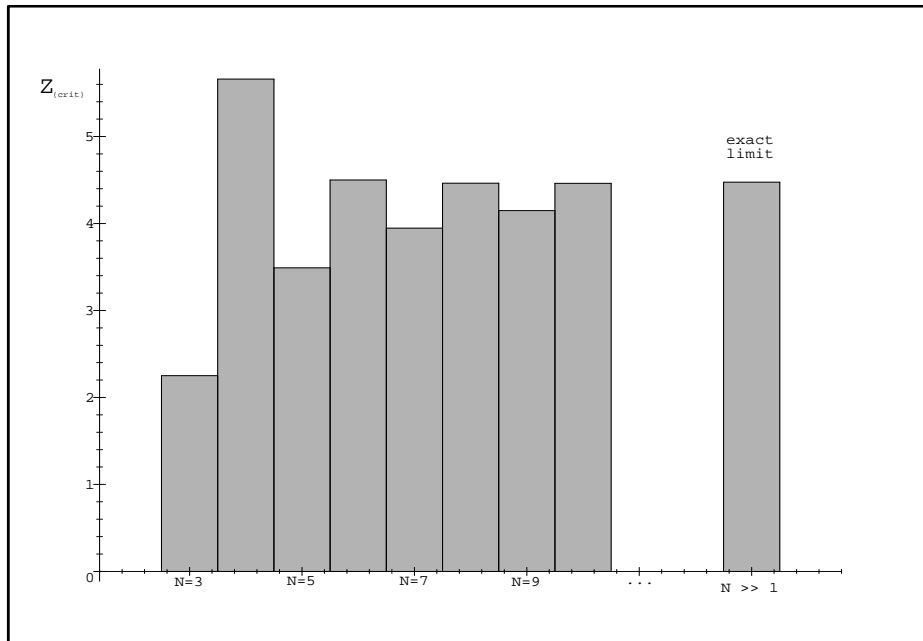


Figure 3: Numerical convergence of the critical couplings



# PARALLELISM.

Whenever the  $\mathcal{PT}$ -symmetry remains unbroken, closed solution follows from the matching conditions

$$(a + ib) U_{n+1} \left( \frac{-F + i\xi}{2} \right) = (a - ib) U_n \left( \frac{-F - i\xi}{2} \right).$$

and

$$U_n \left( \frac{-F + i\xi}{2} \right) U_n \left( \frac{-F - i\xi}{2} \right) = U_{n+1} \left( \frac{-F + i\xi}{2} \right) U_{n+1} \left( \frac{-F - i\xi}{2} \right).$$

# Proof.

subproblem

$$\begin{pmatrix} -1 & i\xi - F & -1 & 0 \\ 0 & -1 & -i\xi - F & -1 \end{pmatrix} \begin{bmatrix} U_{n-1} \left( \frac{-F+i\xi}{2} \right) (a + ib) \\ U_n \left( \frac{-F+i\xi}{2} \right) (a + ib) \\ U_n \left( \frac{-F-i\xi}{2} \right) (a - ib) \\ U_{n-1} \left( \frac{-F-i\xi}{2} \right) (a - ib) \end{bmatrix} = 0$$

induces just one matching,  $\alpha_{n+1} = \alpha_n^*$ . **QED.**

# MODELS WITH MORE MATCHING POINTS

$\ell = 1/2$  and  $N = 6$  – analytic tractability

$$V(x) = \begin{cases} +iZ \\ 0 \\ -iZ \end{cases} \quad \text{for } x \in \begin{cases} (-1, -\frac{1}{2}), \\ (-\frac{1}{2}, \frac{1}{2}), \\ (\frac{1}{2}, 1) \end{cases}$$



$$\left( \begin{array}{c|cc|c} i\xi - F & -1 & & \\ \hline -1 & -F & -1 & \\ & -1 & -F & -1 \\ & & -1 & -F & -1 \\ \hline & & & -1 & -i\xi - F \end{array} \right) \begin{pmatrix} \alpha_0 \\ \gamma_0 \\ \gamma \\ \gamma_0^* \\ \alpha_0^* \end{pmatrix} = 0.$$

# SUPPRESSING THE NON-HERMITICITY USING $\ell = 5/8$

$$V(x) = \begin{cases} +iZ \\ 0 \\ -iZ \end{cases} \quad \text{for } x \in \begin{cases} (-1, -\frac{5}{8}), \\ (-\frac{5}{8}, \frac{5}{8}), \\ (\frac{5}{8}, 1) \end{cases}$$

$$\left( \begin{array}{c|ccc|c}
i\xi - F & -1 & & & \\
\hline
-1 & -F & -1 & & \\
& -1 & -F & -1 & \\
& & -1 & -F & -1 \\
& & & -1 & -F & -1 \\
& & & & -1 & -F & -1 \\
\hline
& & & & & -1 & -i\xi - F
\end{array} \right) \begin{pmatrix} \alpha_0 \\ \gamma_1 \\ \gamma_0 \\ \gamma \\ \gamma_0^* \\ \gamma_1^* \\ \alpha_0^* \end{pmatrix} = 0.$$

**secular determinant**

$$\mathcal{D} = [-F^6 - F^4(\xi^2 - 6) + F^2(4\xi^2 - 10) - 3\xi^2 + 4] F. \quad (3)$$

.

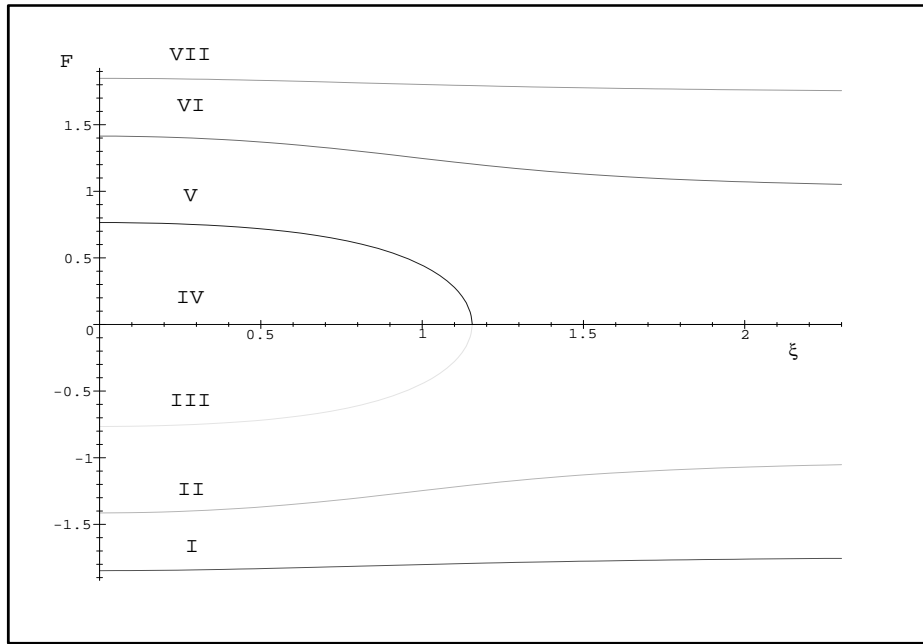


Figure 4: The  $\xi$ -dependence of the seven roots I - VII of the sample secular determinant (3)

**Roots remain real in the Hermitian  $\xi \rightarrow 0$  limit (see Figure)**

$$F_0 = 0, \quad F_{\pm,0} = \pm\sqrt{2}, \quad F_{\pm,\pm} = \pm\sqrt{2 \pm \sqrt{2}}, \quad \xi \rightarrow 0.$$

$$\xi_{crit} \approx 1.15470.$$

**five of the roots remain real for  $Z \gg 1$ ,**

$$F_0 = 0, \quad F_{\pm,0} = \pm 1, \quad F_{\pm,+} = \pm\sqrt{3}, \quad \xi \rightarrow \infty.$$



$$\mathcal{D} = [-F^6 - F^4(2\xi^2 - 6) + F^2(-\xi^4 + 4\xi^2 - 10) + 2\xi^4 + \xi^2 + 4] F \quad (4)$$



.

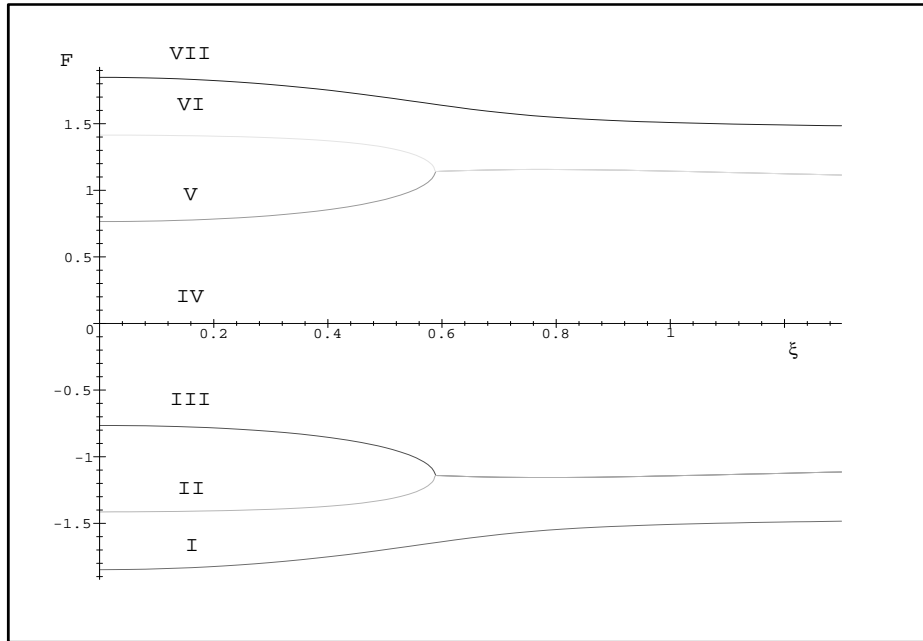


Figure 5: The  $\xi$ -dependence of the roots of the secular determinant (4)



$$\vec{\mathbf{c}}_{\mathbf{k}} = U_k \left( \frac{1}{2} \mathbf{X} \right) \vec{\mathbf{c}}_0, \quad \mathbf{X} = \begin{pmatrix} -F & -\xi \\ \xi & -F \end{pmatrix}, \quad \mathbf{k} = 0, 1, \dots, n+1.$$

# **SUMMARY:** RESULTS OBTAINED ON

- A. formalism
- B. physics
- C. feasibility

## PURPOSE AND KEY QUESTIONS ADDRESSED

- **A. formalism** (emphasis: generalized definitions)
- **B. physics** (the challenge of analytic continuation)
- **C. feasibility** (today: within matching method)

## CONCERNING FEASIBILITY:

- (a) **of proofs** (reality of energies)
  - (i) square-well  $V(x)$  used (friendly math)
  - (ii) Runge-Kutta  $x$  used (friendly phys)

## CONCERNING FEASIBILITY:

- (b) of model building
  - (i) “realistic” shapes of  $V(x)$  (phys made useful)
  - (ii) “realistic” shapes of paths  $x$  (math made flexible)



## CONCERNING ANALYTIC CONTINUATION:

- (a) **in proofs**
  - (i) changing variables in SE (math kept friendly)
  - (ii) rectified  $x$  (SFQM phys made friendly)

## CONCERNING ANALYTIC CONTINUATION:

- (b) **in model building** using tobogganic paths  $\mathcal{C}^{(N)}$ 
  - (i) bound states (topology-dependent phys)
  - (ii) tobogganic scattering (math made challenging again)

More detailed references:

### I. Non-P-pseudo-Hermitian SQW

Miloslav Znojil (quant-ph/0601048):

*Strengthened PT-symmetry with  $\mathbf{P} \neq \mathbf{P}^\dagger$ ,*

**Phys. Lett. A 353 (2006) 463 - 468**

was the first example (see later). Now,  $\exists$  more:

**M.Z.: J. Phys. A: Math. Gen. 39 (2006) 4047 - 4061**

(asymm. coupling of channels)

## II. Models with unobservable coordinates $x \in \mathbb{C}$

(some of them called “quantum toboggans”):

Miloslav Znojil (quant-ph/0602231):

*Quasi-exact minus-quartic oscillators*

*in strong-core regime*

**Phys. Lett. A 356 (2006) xxx**

(available online 5 June 2006 - PTO for a picture)

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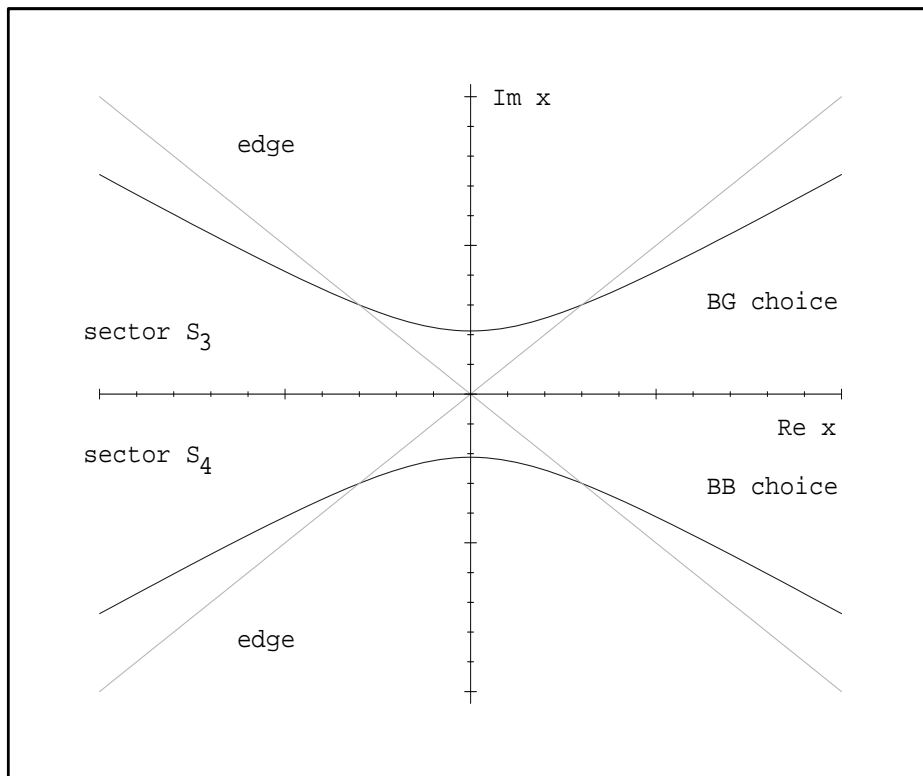


Figure 6: Complex curves of coordinates (quartic oscillator)

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### III. Discrete SQW

Miloslav Znojil and Hendrik B. Geyer:

*Non-existence of the charge operator*

Phys. Lett. B, submitted, plus:

Miloslav Znojil (quant-ph/0605209):

J. Phys. A: Math. Gen. 39 (2006) xxx

(August special issue)